# Case 6:12-cv-00499-RWS-CMC Document 905-9 Filed 08/05/13 Page 1 of 10 PageID #:



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO ISSUE DATE PATENT NO. ATTORNEY DOCKET NO. CONFIRMATION NO. 09/657,181 03/18/2008 7346472

7590

066112.0132

1907

02/27/2008

Scott A. Moskowitz 16711 Collins Avenue #2505 Miami, FL 33160

#### ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

### Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 722 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Scott A. Moskowitz, Miami, FL; Michael W. Berry, Albuquerque, NM;

# Case 6:12-cy-00499-RWS-CMC Document 905-9 Filed 08/05/13 Page 2 of 10 PageID #:

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FEE CALCULATION							<del></del>
1. BASIC FILING,	SEARCH, AND EXA	MINATION FEES					
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Design	210 . 10	5 100	50	130	65		-
Plant	210 10	5 310	155	160	80		-
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2. EXCESS CLAIR Fee Description	W FEES				Fee (\$)	nall Entity Fee (\$)	
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Signature	Hot moles		Registration No. (Attorney/Agent)	Telephone 305 956 9041
Name (Print/Type)	SCON MOSKOWITZ		-	Date January 18, 2008

This collection of information is required by 37 CFR 1.138. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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Miami, FL 33160			•	addressed to transmitted t	o the Mail Stop to the USPTO (57	ISSUE FEE address (1) 273-2885, on the c	g deposited with the United st class mail in an envelope above, or being facsimile late indicated below.
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APPLICATION NO.	FILING DATE		FIRST NAMED INVEN	TOR	ATTO	RNEY DOCKET NO.	CONFIRMATION NO.
09/657,181	09/07/2000		Scott A. Moskowit			066112.0132	1907
TITLE OF INVENTION: I	METHOD AND DEVI	CE FOR MONITORI	NG AND ANALYZING	SIGNALS			
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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

NOTICE OF ALLOWANCE AND FEE(S) DUE

7590

09/26/2007

Scott A. Moskowitz
16711 Collins Avenue #2505
Miami, FL 33160

EXAMINER

TSAI, CAROL S W

ART UNIT PAPER NUMBER

2857

DATE MAILED: 09/26/2007

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/657,181	09/07/2000	Scott A. Moskowitz	066112.0132	1907

TITLE OF INVENTION: METHOD AND DEVICE FOR MONITORING AND ANALYZING SIGNALS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE		DATE DUE		
nonprovisional	YES	\$700	\$0	\$0	\$700	12/26/2007

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

- A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.
- B. If the status above is to be removed, check box 5b on Part B Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.
- II. PART B FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

# Case 6:12-cv-00499-RWS-CMC Document 905-9 Filed 08/05/13 Page 5 of 10 PageID #: 10010



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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/657,181	09/07/2000	Scott A. Moskowitz	066112.0132	1907
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Scott A. Mosko 16711 Collins	Avenue #2505		TSAI, CA	ROL S W
Miami, FL 331	60)		ART UNIT PAPER NUMBER	
•			2857	
			MAIL DATE	DELIVERY MODE
			07/20/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

# Case 6:12-cv-00499-RWS-CMC Document 905-9 Filed 08/05/13 Page 6 of 10 PageID #: 10011



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR ATTORNEY DOCKET NO		CONFIRMATION NO.		
09/657,181	09/07/2000	Scott A. Moskowitz	066112.0132 1907			
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			MAIL DATE	DELIVERY MODE		
		•	05/11/2007	PAPER		

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Case 6:12-cv-00499-RWS-CMC Document 905-9 Filed 08/05/13 Page 7 of 10 PageID #: 4-18-100-12 APR 17 2007 Approved for use through 03/31/2007. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Panerwork Reduction Act of 1995, no ners ction of information unless it displays a valid OMB control number. Application Number 09/657.181 TRANSMITTAL Filing Date September 7, 2000 **FORM** First Named Inventor Scott MOSKOWITZ Art Unit 2857 **Examiner Name** (to be used for all correspondence after initial filing) NA Attorney Docket Number Total Number of Pages in This Submission 80408.00012 **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Fee Attached Licensing-related Papers of Appeals and Interferences Appeal Communication to TC Amendment/Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Provisional Application Proprietary Information Power of Attorney, Revocation Affidavits/declaration(s) Change of Correspondence Address Status Letter Other Enclosure(s) (please Identify Extension of Time Request Terminal Disclaimer below): Express Abandonment Request Request for Refund Information Disclosure Statement CD, Number of CD(s)\_ Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Signature modeo Printed name Scott MOSKOWITZ Date April 17, 2007 Reg. No.

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on Signature

oignature '

Typed or printed name

Scott MOSKOWITZ

Date April 17, 2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing; and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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Commissioner for Patents
United States Patent and Trademark Office
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Alexandria, VA 22313-1450
www.uspto.gov

SCOTT A. MOSKOWITZ

16711 COLLINS AVENUE #2505

MIAMI FL 33160

COPY MAILED

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OFFICE OF PETITIONS

In re Application of Moskowitz et al.

Application No. 09/657,181 : DECIS

Filed: 7 September, 2000

Attorney Docket No. 066112.0132

DECISION ON PETITION

This is a decision on the petition filed on 28 November, 2006, under 37 CFR 1.137(b), to revive the above-identified application.

The petition is GRANTED.

The application became abandoned on 16 April, 2005, for failure to timely respond to the Office letter mailed on 15 March, 2005, which set a one (1) month shortened period for reply. Notice of Abandonment was mailed on 5 December, 2005. On 30 December, 2005, a petition to withdraw the holding of abandonment was filed. On 27 October, 2006, the petition was dismissed.

Effective December 1, 1997, the provisions of 37 CFR 1.137(b) now provide that where the delay in reply was unintentional, a petition may be filed to revive an abandoned application or a lapsed patent pursuant to 37 CFR 1.137(b). A grantable petition filed under the provisions of 37 CFR 1.137(b) must be accompanied by:

<sup>(1)</sup> the required reply, unless previously filed. In a nonprovisional application abandoned for failure to prosecute, the required reply may be met by the filing of a continuing application. In a nonprovisional utility or plant application filed on or after June 8, 1995, and abandoned for failure to prosecute, the required reply may also be met by the filing of a request for continuing examination in compliance with § 1.114. In an application or patent, abandoned or lapsed for failure to pay the issue fee or any portion thereof, the required reply must be the payment of the issue fee or any outstanding balance thereof. In an application, abandoned for failure to pay the publication fee, the required reply must include payment of the publication fee.

<sup>(2)</sup> the petition fee as set forth in 37 CFR 1.17(m);

<sup>(3)</sup> a statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional. The Commissioner may required additional information where there is a question whether the delay was unintentional; and

<sup>(4)</sup> any terminal disclaimer (and fee as set forth in 37 CFR 1.20(d)) required pursuant to 37 CFR 1.137(c)).

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(32 NOV 2 8 2006 2 ) PTO/SB/64 (09-04
Approved for use through 03/31/2007, OMB 0651-003
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERC Under the Page word. Revision Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number
PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT  Docket Number (Optional)
ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(b) 666112.0132
(80408.0012)
- A Markallita Lal
First named inventor: Sull A. Plos Power E 2- 21.
Application No.: 09/657,181 Art Unit: 2857
Filed: September 7, 2000 Examiner: Carol SW Tsai  Title: Method and Device for Monitoring and  Analyzing Signals
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Title: Method and Desice for promiting and
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Attention: Office of Petitions
Mail Stop Petition
Commissioner for Patents
P.O. Box 1450 Alexandria, VA 22313-1450
FAX (571) 273-8300
NOTE: If information or assistance is needed in completing this form, please contact Petitions
Information at (571) 272-3282.
The above-identified application became abandoned for failure to file a timely and proper reply to a notice of action by the United States Patent and Trademark Office. The date of abandonment is the day after the expiration date of the period set for reply in the office notice or action plus an extensions of time actually obtained.
APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION
NOTE: A grantable petition requires the following items:
(1) Petition fee;
(2) Reply and/or issue fee:
<ul><li>(3) Terminal disclaimer with disclaimer fee - required for all utility and plant applications</li></ul>
filed before June 8, 1995; and for all design applications; and  (4) Statement that the entire delay was unintentional.
(4) Statement that the entire delay was difficultional.
1.Petition fee  1.Petition fee  Small entity-fee \$ 750 × (37 CFR 1.17(m)). Applicant claims small entity status. See 37 CFR 1.27.
Other than small entity – fee \$(37 CFR 1.17(m))
2. Reply and/or fee
A The reply and/or fee to the above-noted Office action in
the form of Response to Non-Final OFFICE ACTION (identify type of reply):
has been filed previously on November 22, 2004.
is enclosed herewith.
•
B. The issue fee and publication fee (if applicable) of \$
has been paid previously on
is enclosed herewith.

[Page 1 of 2]

This collection of information is required by 37 CFR 1.137(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/64 (09-06)

Approved for use through 03/31/2007, OMB 0651-0031
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